Page 1 of 5 SERIAL NO. Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. 025098-2802 (MODIFIED) 09/807,355 PATENT AND TRADEMARK OFFICE APPLICANT P ENFORMATION DISCLOSURE CITATION Peter B. Dervan **GROUP ART UNIT** FILING DATE 04/10/2001 1646 lyse several sheets if necessary) OCT 1 5 2001 **U.S. PATENT DOCUMENTS** FILING DATE SOM MODE DOCUMENT SUB-**CLASS** DATE NAME **REF** IF CLASS INITIAL NUMBER APPROPRIATE 514 422 8-23-98 Arcamone et al. TR. **A1** 4766142 530 3-27-90 Lowe et al. 331 4912199 A2 514 397 5273991 12-28-93 Lee **A3** Lown et al 514 397 3-26-96 5502068 **A4** 435 Edwards et al. 6 5578444 11-26-96 **A5** 514 18 05-19-98 Beria et al 5753629 A6 424 07-07-98 Foulkes et al. 617 5776502 **A7 FOREIGN PATENT DOCUMENTS** TRANSLATION SUB-**DOCUMENT CLASS** COUNTRY DATE REF CLASS NUMBER YES NO **PCT** 8-6-92 WO 92/13091 SK A13 PCT WO 93/13739 7-22-93 A14 PCT WO 94/20463 9-15-94 A15 PCT WO 97/03957 2-6-97 A16 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Arcamone, et al., "Synthesis, DNA binding and antiviral activity of distamycin analogues containing different. 5R A24 heterocyclic moieties," Anti-Cancer Drug Design, 1986, Vol. 11, p. 235-244. Arcamone, et al., "Synthesis, DNA-binding properties, and antitumor activity of novel distamycline derivatives," A25 J.Med.Chem., 1989, Vol. 32, p. 774-778. Beran et al., "Tallimustine, an effective antileukemic agent in a severe combined immunodeficient mouse..." A26 Clinical Cancer Research, 1997, Vol. 3, p. 2377-2384. Benz et al., "HER2/Neu and the Ets transcriptionactivator PEA3 are coordinately upregulated in human breast A27 Cancer," Oncogene, 1997, Vol. 15, p. 1513-1525. Bosher et al., "The developmentally regulated transcription factor AP-2 is involved in c-erbB-2 overexpression A28 in human mammary carcinoma," Proc. Nat. Acad. Sci. USA, 1995, Vol. 92, p. 744-747. DATE CONSIDERED **EXAMINER**

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation in conformance and not considered. Including any copy of this form with next

communicati n t applicant.

DTO 14	40	II C DEDARTME	NT OF COMMED	<u>-</u>	ATTY DOCKET NO		SEDIAL NO	Page 2 of 5
Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE					SERIAL NO. 09/807,355			
(MODIFIED) PATENT AND TRADEMARK OFFICE								<i>,</i> ∟
INFO	INFORMATION DISCLOSURE CITATION				Peter B. Dervan			
						FILING DATE GROU		
•	(Use several sheets if necessary)				04/10/2001		1646	
			U.S. PA	TEN	T DOCUMENTS			
EXAMINER INITIAL	REF	DOCUMENT DATE			NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
SPERS	A8	5808087	09-15-98	Mat	sunaga et al.	548	306.1	_
15 mile:	A9	5998140	12-07-99	Den	van et al.	435	6	
SR .	A10	6090947	07-18-00	Den	van et al.	548	312.4	
ENT & TRICEMEN	A11	6143901	11-07-00	Den	an et al	548	312.4	
	A12	-	_					
			·					
					· - · · · · ·			
					,			
						·		
					•			
							 	
		-		· · · <u>-</u>				
							<u> </u>	
-								
							ļ	

Page 3 of 5

C	440	LLC DEDARTME	NT OF COMMED	<u> </u>	ATTY DOCKET NO		CEDIAL NO	, <u>ugo o</u>	<u> </u>
Form PTO-1449					ATTY. DOCKET NO.		SERIAL NO. 09/807,355		
(MODIFIED) PATENT AND TRADEMARK OFFICE									
					APPLICANT				
INFORMATION DISCLOSURE CITATION					Peter B. Dervan				
-['	· %\			FILING DATE 04/10/2001		GROUP ART UNIT 1646			
WI 15 201	يَّ الْعَالَةِ عَلَى الْعَالَةِ عَلَى الْعَالَةِ عَلَى الْعَالِةِ عَلَى الْعَالَةِ عَلَى الْعَالَةِ عَلَى الْع								
18.1	3		FOREIGN	PAT	ENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·			
PRINTA TRIOR		DOCUMENT	DATE		COUNTRY	CLASS.	SUB-	TRANSLATION	
	REF	NUMBER	DATE			"LLASS.	CLASS	_YES_	NO
5R	A17	WO 97/28123	8-7-97	PC1		\		\	<u> </u>
	A18	WO 98/04524	2-5-98	PC1	-	\			Ц
	A19	WO 98/35702	8-20-98	PCT	<u> </u>	\			
	A20	WO 98/37066	8-27-98	PCI	•				
	A21	WO 98/37067	8-27-98	PCT					
4	A22	WO 98/45284	10-15-98	PCI					
	A23	_					\	<u> </u>	\
			·						
	- 1		J						
		·							
				··					
	1								
	1								
			·						
	<u> </u>				At				
•									

Page 4 of 5 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. 025098-2802 09/807,355 (MODIFIED) PATENT AND TRADEMARK OFFICE **APPLICANT** INFORMATION DISCLOSURE CITATION Peter B. Dervan FILING DATE **GROUP ART UNIT** 04/10/2001 1646 (Use several sheets if necessary) OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Chang et al., "EXS: a structurally unique Ets overexpressed early during human breast tumorigenesis," Oncogene, 1997, Vol. 14, p. 1617-1622. Cho et al., "Cyclic polyamides for recognition in the minor groove of DNA," Proc. Nat. Acad. Sci. USA, 1995, A30 -Vol. 92, p. 10389-10392. Ciucci et al., "Backbone and benzoyl mustard carrying moiety modifies DNA interactions of distamycin A31 analogues," Nucleic Acids Research, 1996, Vol. 24, No. 2, p. 311-315. Cozzi et al., "Novel phenyl Nitrogen mustard and half-mustard derivatives of distamycin A," Bioorganic and A32 · Medicinal Chemistry Letters, 1997, Vol. 7, No. 23, p. 2985-2990. Dennison et al., "Small-molecule-based strategies for controlling genes expression," Chemistry & Biology, A33 June 1998, vol. 5, No. 6, p. 1-17. Ebbinghaus, "Triplex formation inhibits HER-2/neu transcription in vitro," J.Clin.Invest, 1993, Vol. 92, A34 p. 2433-2439. Geierstranger et al, "Design of a G-C-Specific DNA Minor Groove-Binding Peptide," Science, 1994, Vol. 266. **A35** p. 646-650. Gottesfeld et al., "Regulation of gene expression by small molecules," Nature, 1997, Vol. 387, p. 202-205. A36 -Lown et al., "Novel linked antiviral and antitumor agents related to netropsin and distamycin: Synthesis and. A37 biological evaluation," J.Med.Chem., 1989, Vol. 32, p. 2368-2375. Mrksich et al., "Antiparallel side-by-side dimeric motif for sequence-specific recognition in the minor groove A38' of DNA..." Proc.Nat.Acad.Sci.USA, 1992, Vol. 89, p. 7586-7590. Mrksich et al.. "Antiparallel side-by-side dimeric motif for sequence-specific recognition in the minor groove A39 of DNA..."American Chemical Society, 1993, Vol. 115, p. 2572-2576. Mrksich et al., "Design of a covaient peptide heterodimer for sequence-specific recognition in the minor groove A40 ⁴ of double-helical DNA," J.of the American Chemical Society, 1994, Vol. 11, p. 3663-3664. Mrkish, et al., "Hairpin Peptide Motif.: A new class of oligopeptides for sequence-specific recognition in the A41 minor groove of double-helical DNA," J. of the Amer.Chem.Society, 1994, Vol. 116, p. 7983-7988. Parks et al., Optimization of the hairpin polyamide design for recognition of the minor groove of DNA," A42 J.Am.Chem.Soc., 1996, Vol. 118, p. 6147-6152. Pasleau et al., "Expression of the c-erbB2 gene in the BT474 human mammery tumor cell line: measurement A43 of c-erbB2 mRNA half-life," Oncogene, 1993, Vol. 8, p. 849-854.

1R 4/29/04

Page 5 of 5

	·				7-3-5-5-0					
ı	Form PTO-1449		U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO.	SERIAL NO.					
	(MODIFIED) PATENT AND TRADEMARK OFFIC		PATENT AND TRADEMARK OFFICE	025098-2802	09/807,355					
ı				APPLICANT						
	INFORMATION DISCLOSURE CITATION			Peter B. Dervan						
				FILING DATE	GROUP ART UNIT					
		(Use s	everal sheets if necessary)	04/10/2001	1646					
أبر		<u> </u>	OTHER DOCUMENTS (Including A	Author, Title, Date, Pertinent Pages, Etc.)						
1	•	<u>a</u> /	Pelton et al., "Structural characterization	of a 2:1 distamycin A-d(CGCAAATTGGC) complex by two-						
	OCT 1 5 2001	A44	dimensional NMR," Proc. Nat. Acad. Sci. USA, 1989, vol. 86, p. 5723-5727.							
3	SP	\$	Scott et al., "Binding of an ETS-related protein within the Dnase I hypersensitive site of the HER2/neu							
1	TA TRADENA	A45	Promotor in human breast cancer cells, " J. of Biological Chemistry, 1994, vol. 269, p. 19848-19858.							
			Tal et al., "Human HER2 (neu) promoter: Evidence for multiple mechanisms for transcriptional initiation,"							
	SP	A46	Mulecular and Cellular Biology, 1987, Vol. 7, No. 7, p. 2597-2601.							
			Trauger et al., "Extension of sequence specific recognition in the minor groove of DNA by pyrrole-imidazole							
	ľ	A47	Polyamides to 9-13 base pairs," J. Am. C	Chem. Soc., 1996, Vol. 118, p. 6160-6166.						
			Trauger, et al., "Recognition of DNA by de	designed ligands at subnanomolar concentrations," Nature, 1996,						
		A48 -	Vol. 382, No. 8, p. 559-561.							
İ			Wade et al., "Design of peptides that bind	in the minor groove of DNA at 5'-(A,T	G(A,T)C(A,T)-3' sequences by					
		A49	a dimeric side-by-side motif," J. of the Am	ner. Chem. Soc., 1992, vol. 114, p. 8783-8794.						
			White et al, "Recognition of the four Wats	son-Crick base pairs in the DNA minor groove by synthetic ligands,"						
		A50 ·	Nature, 1998, Vol. 391, p. 468-471.	. , , , , , , , , , , , , , , , , , , ,						
Ì		154	White et al., "Effects of the A-T/T-A deger	neracy of pyrrole-Imidazole polyamide recognition in the minor groove						
		A51	of DNA," Biochemistry, 1996, Vol. 35, p. 1	12532-12537.						
ı		450	White et al, "On the pairing rules for recognition in the minor groove of DNA by pyrrole-imidazole polyamides,"							
!	A52 ·		Chemistry & Biology, 1997, Vol. 4, No. 8, p. 569-578.							
ĺ										
		A53								
		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \								
		A54	-							
		AFE								
		A55								
		A56								
					·					
	A57		7							
		A58								
		7,00								

129/04